

FLINTSHIRE COUNTY COUNCIL

REPORT TO: **AUDIT COMMITTEE**

DATE: **MONDAY, 25 JUNE 2012**

REPORT BY: **HEAD OF FINANCE**

SUBJECT: **OPERATIONAL AUDIT PLAN AND
RECOMMENDATION TRACKING**

1.00 PURPOSE OF REPORT

1.01 To inform Members of progress against the operational plans for 2011/12 and 2012/13. The plans are attached (Appendices A and B). Amendments to the plan are shown in Appendix C. The results of the current recommendation tracking since the previous Audit Committee are also attached (Appendices D and E).

2.00 BACKGROUND

2.01 The status of all projects at 1st June 2012 is included in the operational plans. The plans show the agreed timing for the individual projects where it is known. The recommendation tracking provides details of all recommendations tracked since the previous Audit Committee.

3.00 CONSIDERATIONS

3.01 Work is almost completed on the 2011/12 plan and has commenced on the 2012/13 plan.

3.02 Four additions have been made to the plan at the request of management. These are shown in Appendix C and will be completed using the days in the contingency allocation.

One project has been deferred at the request of management. This is also shown in Appendix C.

3.03 Recruitment to vacant posts in the new Internal Audit staff structure has been completed. The department will be at full strength from 2nd July 2012, which will facilitate the delivery of the 2012/13 plan.

3.04 A summary of recommendation tracking is given in Appendix D. Two responses were not received from management. These will be followed up.

3.05 Detailed responses to recommendation tracking are given in Appendix E. This also includes the test results that led to the recommendations and the date of the original audit report. As agreed previously where action plans have been completed the results are shaded, to make it easier to identify the recommendations still outstanding.

3.06 The implementation dates for six of the recommendations have been put back several times. These will be followed up with management to ensure that they are still valid and that the latest implementation dates are realistic and will be achieved.

4.00 RECOMMENDATIONS

4.01 The Committee is requested to consider the report.

5.00 FINANCIAL IMPLICATIONS

5.01 None.

6.00 ANTI POVERTY IMPACT

6.01 None.

7.00 ENVIRONMENTAL IMPACT

7.01 None.

8.00 EQUALITIES IMPACT

8.01 None.

9.00 PERSONNEL IMPLICATIONS

9.01 None.

10.00 CONSULTATION REQUIRED

10.01 None.

11.00 CONSULTATION UNDERTAKEN

11.01 None.

12.00 APPENDICES

Operational Plan 2011/12
Operational Plan 2012/13
Amendments to the plan
Audit Tracking Summary
Audit Tracking Detail

LOCAL GOVERNMENT (ACCESS TO INFORMATION ACT) 1985
BACKGROUND DOCUMENTS

None.

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